

**Scott D. Schwalich**  
**Blake-Schutter Wealth Advisors LLC**

7777 Washington Village Dr, #120  
Dayton, OH 45459  
937-956-7875  
www.blakeschutter.com

April 2023

**Part 2B (“Brochure Supplement”)**

This Brochure Supplement provides information about Scott D. Schwalich that supplements the Blake-Schutter Wealth Advisors Brochure. You should have received a copy of that Brochure. Please contact Edward J. Blake, Chief Compliance Officer, at 937-956-7870, or at Ed.Blake@blakeschutter.com if you did not receive Blake-Schutter Wealth Advisors’ Brochure or if you have any additional questions about the contents of this supplement.

Additional information about Scott D. Schwalich is available on the SEC’s website at [www.adviserinfo.sec.gov/](http://www.adviserinfo.sec.gov/).

## **2. Item 2 – Education Background and Business Experience**

Full Legal Name: Scott David Schwalich

Born: 1987

Formal Education after High School:

Bachelors of Science Accounting and Finance, Wright State University - 2010

Professional Designations Completed:

Certified Financial Planner (CFP)<sup>1</sup>

Recent Business Background:

Investment Adviser, Blake-Schutter Wealth Advisors, September 2022 - Present

Investment Adviser Representative, Anderson Financial Strategies, LLC, January 2021- September 2022

Wealth Strategy Advisor, Anderson Financial Strategies, LLC, November 2019 - September 2022

Benefit Advisor & Accountant, RetireMEDiQ, LLC, January 2014 – October 2019

Research Specialist, McGohan Brabender Senior Solutions, LLC, August 2013 - December 2013

Self-Employed, January 2013 – July 2013

Administrative Associate, Client First Financial Strategies, Inc, December 2012 - January 2013

Self-Employed, July 2010 – November 2012

<sup>1</sup>The CERTIFIED FINANCIAL PLANNER™, CFP® and federally registered CFP (with flame design) marks (collectively, the “CFP® marks”) are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. (“CFP Board”). The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. It is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients. Currently, more than 71,000 individuals have obtained CFP® certification in the United States. To attain the right to use the CFP® marks, an individual must satisfactorily fulfill the following requirements:

- Education – Complete an advanced college-level course of study addressing the financial planning subject areas that CFP Board’s studies have determined as necessary for the competent and professional delivery of financial planning services, and attain a Bachelor’s Degree from a regionally accredited United States college or university (or its equivalent from a foreign university). CFP Board’s financial planning subject areas include insurance planning and risk management, employee benefits planning, investment planning, income tax planning, retirement planning, and estate planning;
- Examination – Pass the comprehensive CFP® Certification Examination. The examination includes case studies and client scenarios designed to test one’s ability to correctly diagnose financial planning issues and apply one’s knowledge of financial planning to real world circumstances;
- Experience – Complete at least three years of full-time financial planning-related experience (or the equivalent, measured as 2,000 hours per year); and
- Ethics – Agree to be bound by CFP Board’s Standards of Professional Conduct, a set of documents outlining the ethical and practice standards for CFP® professionals. Individuals who become certified must complete the following ongoing education and ethics requirements in order to maintain the right to continue to use the CFP® marks:
  - Continuing Education – Complete 30 hours of continuing education hours every two years, including two hours on the Code of Ethics and other parts of the Standards of Professional Conduct, to maintain competence and keep up with developments in the financial planning field; and
  - Ethics – Renew an agreement to be bound by the Standards of Professional Conduct. The Standards prominently require that CFP® professionals provide financial planning services at a fiduciary standard of care. This means CFP® professionals must provide financial planning services in the best interests of their clients. CFP® professionals who fail to comply with the above standards and requirements may be subject to CFP Board’s enforcement process, which could result in suspension or permanent revocation of their CFP® certification.

### **3. Item 3 – Disciplinary History**

Scott D. Schwalich nor is currently the subject to any criminal, civil, or disciplinary action.

### **4. Item 4 – Other Business Activities**

Owner/CEO, Fee Only Medicare, LLC, May 2021 - Present

### **5. Item 5 – Additional Compensation**

Head Coach, Flag Football Fanatics, April 2023. Mr. Schwalich receives rebates on registration fees as a head coach.

### **6. Item 6 – Supervision**

Mr. Schwalich activities on behalf of Blake-Schutter Wealth Advisors are monitored by the firm's Chief Compliance Officer. The firm has adopted policies and procedures to oversee, monitor and control the activities of its Supervised Persons. The person responsible for monitoring the advice and activities provided by Mr. Schwalich is Edward J Blake, Chief Compliance Officer. Mr. Blake can be reached at Ed.Blake@blakeschutter.com or by calling (937) 956-7870.

### **7. Item 7 – Requirements for State-Registered Advisers**

Scott D. Schwalich has not been involved in any of the events listed below.

1. An award or otherwise being found liable in arbitration claim alleging damages in excess of \$2,500, involving any of the following:

- a) an investment or an investment-related business activity;
- b) fraud, false statement(s), or omissions;
- c) theft, embezzlement, or other wrongful taking of property;
- d) bribery, forgery, counterfeiting, or extortion; or
- e) dishonest, unfair, or unethical practices.

2. An award or otherwise being found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following:

- a) an investment or an investment-related business activity;
- b) fraud, false statement(s), or omissions;
- c) theft, embezzlement, or other wrongful taking of property;
- d) bribery, forgery, counterfeiting, or extortion; or
- e) dishonest, unfair, or unethical practices.

Mr. Schwalich has not been the subject of bankruptcy petition in the past ten years.